IAP7 Rec'd PCT/PTO 0 6 APR 2006

PTO-1390 (Rev. 02-2005) Approved for use through 3/31/2007. OMB 0651-0021

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TRANSMITTAL LETTER TO THE UNITED STATES **DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** ATTORNEY'S DOCKET NUMBER

011235.57476US

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

G DATE PRIORITY DATE CLAIMED

INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE

PCT/DE2004/002114 September 23, 2004

October 11, 2003

TITLE OF INVENTION											
METHOD FOR LOCAL ALITATION, SILICONIZATION OR CHROMATION OF METAL COMPONENTS											
APPLICANT(S) FOR DO/EO/US											
Michael Strasser, et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:											
1.											
2.		This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.									
3.		The second secon									
J.	Ш	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.									
4.		The US has been elected (Article 31).									
5.		A copy of the International Application as filed (35 U.S.C. 371(c)(2))									
	•	a. is attached hereto (required only if not communicated by the International Bureau).									
		b. has been communicated by the International Bureau.									
		c. Is not required, as the application was filed in the United States Receiving Office (RO/US).									
6.		An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).									
		a. is attached hereto.									
		b. has been previously submitted under 35 U.S.C. 154(d)(4).									
7.		Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))									
		a. are attached hereto (required only if not communicated by the International Bureau).									
		b. have been communicated by the International Bureau.									
		c. have not been made; however, the time limit for making such amendments has NOT expired.									
		d. have not been made and will not be made.									
8.		An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).									
9.		An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).									
10.		An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).									
	Items 11 to 20 below concern document(s) or information included:										
11.		An Information Disclosure Statement under 37 CFR 1.97 and 1.98.									
12.		An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.									
13.		A preliminary amendment.									
14.		An Application Data Sheet under 37 CFR 1.76.									
15.		A substitute specification.									
16.		A power of attorney and/or change of address letter.									
17.		A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.									
18.		A second copy of the published International Application under 35 U.S.C. 154(d)(4).									
19.		A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).									
20.		Other items or information: a. 1 sheet of a drawing showing figure 1 b. German language priority application c. 1st page of WO 2005/035819A1 d. PCT/IB/301 e. PCT/IB/301 (continuation sheet) f. PCT/IB/301 (Annex) g. PCT/IB/304 h. PCT/IB/308 (First Notice) i. PCT/IB/308 (Second and Supplementary Notice) j. PCT/ISA/210 k. PCT/ISA/220 I. PCT/ISA/237 m. PCT/RO/101 n. Express Mail No.: EV735667111US									

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



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U.S. APPLICATIONANO (if known see 3/20 EB) 1.5)
UNTERNATIONAL APPLICATIONANO.

U.S. APPLICATION NO. (if known, see 374CFB 1.5)				INTERNATIONAL APPLICATION NO.			011225 57476119				
<u></u>	U 1 J	, 7	, <u>, , , , , , , , , , , , , , , , , , </u>	PCT/DE2004/002114			011235.57476US CALCULATIONS PTO USE ONLY				
			ve been submit			\$300.00		PIOUSEONLY			
			\$300.00								
22. Examination fee											
If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)											
All other situation			\$200.00								
23. Sea											
Search fee (37 CFR 1.44(a)(2)) has been paid on the international application to											
the USPTO	as an Inte	rnation									
International Sea			0.400.00								
All other situation			\$400.00								
		TOTAL	\$900.00								
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.											
Total Sheets	Extra Sh	neets		n additional 50 or fraction up to a whole number) RAT		RATE					
- 100	/ 5	0 =		x 250			\$				
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest											
claimed priority d	late (37 Cl						\$130.00				
CLAIMS	· -		BER FILED		JMBER EXTRA RATE						
Total claims	5 :	8 - 20		0	× 50						
Independent cla			1 - 3		0 x 200		\$				
MULTIPLE DEPI	ENDENT (CLAIM(S	\$								
		\$									
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.											
	SUBTOTAL = \$1,030.00										
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the											
earliest claimed	priority dat	e (37 C	FR 1.492(i))			IATIONAL FEE =	\$				
			\$1,030.00								
				FR 1.21 (h)). The assignm FR 3.28, 3.31). \$40.00 p			\$				
accompanied by	an approp	nate a	\$1,030.00								
						ES ENCLOSED =	Amount to be				
			refunded:	\$							
			Amount to be								
							charged:	\$			
a. A check in the amount of \$XXXXXX to cover the above fees is enclosed.											
	b. Please charge my Deposit Account No. 05-1323 (Attorney Docket No. XXXXXXUS) in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.										
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 05-1323 (Attorney Docket No. 011235.57476US). A duplicate copy of this sheet is enclosed.											
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not											
be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed											
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.											
SEND ALL CORRESPONDENCE TO:											
Crowell & Moring LLP											
Intellectual Property Group SIGNATURE											
P.O. Box 14300 Robert L. Grabarek, Jr.											
Washington, D.C. 20044-4300 NAME 40,625											
REGISTRATION NUMBER											
Fax No. (202) 628-8844 April 6, 2006											
RI G/mns	DATE										

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application. No.: Not Yet Assigned

Confirmation No. Not Yet Assigned

Applicant

: Michael STRASSER, et al.

Filed

: 04/06/2006

TC/A.U. Examiner : Not Yet Assigned: Not Yet Assigned

Docket No.

: 011235.57476US

Customer No.

: 23911

Mail Stop PCT Commissioner for Patents PO Box 1450 Alexandria VA 22313-1450

SUBMISSION OF SUBSTITUTE SPECIFICATION

Sir:

Attached is a Substitute Specification and a marked-up copy of the original specification. I certify that said substitute specification contains no new matter and includes the changes indicated in the marked-up copy of the original specification.

Respectfully submitted,

CROWELL & MORING LLP

Dated: April 6, 2006

Robert L. Grabarek, Jr.

Reg. No. 40,625

Tel.: (949) 263-8400 (Pacific Coast)

Attachments

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Washington, D.C. 20044-4300